

The potential distribution of adult Antarctic krill in the Amundsen Sea*

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Abstract Antarctic krill is the key species of ecological system in the Amundsen Sea. At present, the suitable distribution is unobtainable by scientific surveys or data from the fishery. In this paper, the maximum entropy algorithm (Maxent) was used to obtain the potential distribution of adult Antarctic krill in order to provide useful information and reasonable reference for the policy on protecting potential krill habitats around the Amundsen Sea. Occurrence points and 17 environmental variables were used to simulate the distributions. Results show that the high and moderate suitable habitats lie between 65°S and 72°S in the Amundsen Sea. The high suitable habitat accounts for 8.1% of the total area of the Amundsen Sea. The sea ice persistence (ICE), total phytoplankton (PHYC), and the minimum value of dissolved iron (Fe_min) are the three dominant contributors to the model. Results from the response curves show that Antarctic krill preferred habitats with ICE of 0.42–0.93, PHYC of 2.48–2.77 mmol/m³ and Fe_min of (7.10×10-5)–(9.45×10-5) mmol/m³. Positive trends existed in the PHYC of the high and moderate suitable habitat, and a positive trend existed in the Fe_min of moderate suitable habitat. However, the probability of presence of Antarctic krill will decrease if the increase of the PHYC and Fe_min continues.

Keyword: krill; Amundsen Sea; Maxent; potential distribution

1 INTRODUCTION

In 1982, the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) was established with the objective of conserving living marine resources in the Southern Ocean. At present, the Southern Ocean has contributed a considerable proportion of the marine protected areas (MPAs) network at a global scale due to the uniqueness of the Antarctic environment (Brooks et al., 2020; Teschke et al., 2020). The Amundsen Sea, lying between Cape Flying Fish to the east and Cape Dart on Slip Island to the west (Fig.1), has a relatively narrow continental shelf and a large amount of perennial sea ice, and a number of coastal polynyas located adjacent to large ice shelves (Arrigo and Van Dijken, 2003). Results from satellite show that the coastal ecosystems of the polynyas in the Amundsen Sea have the largest phytoplankton productivity in the Antarctic (Arrigo

and Van Dijken, 2003; Arrigo et al., 2012). At present, the CCAMLR has listed the Amundsen Sea as one of the nine MPAs reserves to be planned in the Antarctic region.

Antarctic krill is a keystone species of the neritic ecosystem in the Southern Ocean, as well as a key dietary resource for several predators, such as Antarctic fur seals, whales, Adélie, chinstrap, and several species of fish, squid, albatross and other invertebrates (Croxall and Prince, 1980; Siegel and Piatkowski, 1990; Forcada et al., 2012). Research showed that variability in the abundance and distribution of krill have substantial effects on

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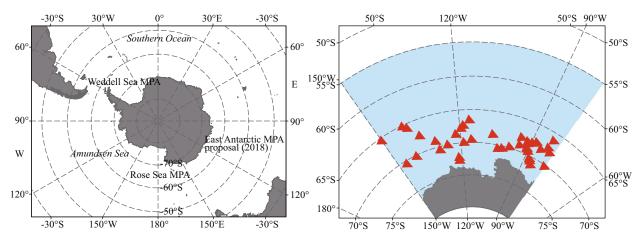


Fig.1 Locations of the Southern Ocean, Weddell Sea MPA, Rose Sea MPA, and Amundsen Sea (left), locations of the Antarctic krill in the Amundsen Sea (right)

reproductive performance of krill-dependent predators (Croxall et al., 1999; Reid et al., 2005; Forcada et al., 2012). In addition to their ecological role, krill is also the dominant fished species in the Southern Ocean, which has a potential sustainable yield equivalent to 11% of global fishery landings (Grant et al., 2013). But the habitat of Antarctic krill in the Southern Ocean is subject to on-going climate changes, such as sea ice decline, temperature rise, and ocean acidification (Jacquet et al., 2010; Flores et al., 2012a, b; Sylvester et al., 2021). Recently more and more concerns were forced on the future sustainability of krill harvesting under the cumulative pressure of climate and fisheries (Schiermeier, 2010; Meyer et al., 2020; Watters et al., 2020). Resource and conservation management in the Southern Ocean will become more adaptive as Antarctic krill populations and marine ecosystems are responding to climate change (Flores et al., 2012a). The CCAMLR is responsible for managing marine living resources in the Antarctic Ocean using an ecosystem-based approach to management (Constable et al., 2000), in which krill play a central role in the marine food web of the wider ecosystem (Constable et al., 2000; Ballerini et al., 2014; Dahood et al., 2020). The effectiveness of management is highly dependent on detailed knowledge of their distribution, and how the distributions are affected by a complex range of environmental variables (Silk et al., 2016). Thus, understanding the spatial distribution of krill and how the distribution are effected by the environment conditions is very important for the sustainable fishery management and conservation policy making (such as identifying suitable Marine Protected Areas). At present, knowledge about krill spatial distribution mostly comes from scientific surveys using acoustics

or nets or data from the fishery (Reiss et al., 2008; Atkinson et al., 2012; Kinzey et al., 2015; La et al., 2015). However, these data only cover a tiny fraction of the South Ocean, especially in the Amundsen Sea, which fail to meet the need for spatial distribution.

Habitat suitability models, which can significantly improve the understanding on species niche requirements, have been widely used to predict the potential distributions of species by using distribution points and environmental variables (Peterson et al., 2002; Hirzel et al., 2006; Xavier et al., 2016). Implementation of habitat suitability started with terrestrial species, with increasing numbers of publications each year (Phillips et al., 2006; Saatchi et al., 2008; Robinson et al., 2011; Melo-Merino et al., 2020). In the Southern Ocean, Bombosch et al. (2014) modelled habitat suitability of humpback and Antarctic minke whales in the Southern Ocean, they produced daily basinwide/circumpolar prediction maps of habitat suitability. Do Amaral et al. (2015) used ecological niche modeling to estimate the potential distribution of Stenella dolphins in the southwestern Atlantic Ocean, they found that different species of Stenella have distinct environmental requirements. Xavier et al. (2016) used habitat suitability models to assess favorable areas from 15 cephalopods in the Southern Ocean. Nachtsheim et al. (2017) detected the suitable habitats for crabeater seals within the Weddell Sea using maximum entropy model (Maxent). Wege et al. (2020) modeled habitat suitability for crabeater seals in the Weddell Sea using satellite images.

In this work, a presence-only habitat modelling approach named Maxent was used to estimate the possible distribution and to investigate the influence of certain environmental variables on the distribution of Antarctic krill in the Amundsen Sea. In this way,

Table 1 Physical and ecological variables from the GLORYS2v4

Physical	Ecological
Temperature (TEM)	Total Chlorophyll (CHL)
Salinity (SAL)	Nitrate (NO ₃)
Eastward velocity (U)	Phosphate (PO ₄)
Northward velocity (V)	Dissolved silicate (SI)
Sea surface height (SSH)	Dissolved oxygen (O2)
Density ocean mixed layer thickness (MLP)	Total primary production of phyto (NPPV)
Sea floor potential temperature (BOT)	Dissolved Iron (Fe)
Sea ice thickness (HICE)	Surface CO ₂ (SPCO2)
	Total phytoplankton (PHYC)

favored conditions for Antarctic krill were detected within the Amundsen Sea, which is critically important for the planning of marine protected areas in the Amundsen Sea.

The outline of this paper are as follows: a brief description of the datasets and methods were provided in Section 2, Section 3 listed the results, discussions were provided in Section 4, and Section 5 presented the main conclusions draw from this study.

2 DATA SET AND METHODOLOGY

2.1 Data set

The presence data of the Antarctic krill is from KRILLBASE, which is an open access database of net-based juvenile and adult Antarctic krill (Atkinson et al., 2016). In total, we get 40 effective locations for Antarctic krill (Fig.1). Nearly all selected locations were concentrated in January, February, March, November, and December. These are too little data to get the temporal variation (There are only 8 points on monthly average), so we put these locations together to get the spatial distribution in this work. In order to avoid the spatial auto-correlation, which affects the accuracy of the model, we randomly removed a point with distance less than 0.1° between two points (Milchev, 2009; Zhang et al., 2019).

In this work, 8 physical and 9 ecological variable of the ocean were used to analyze the habitat preferences of Antarctic krill (Table 1). These variables are derived from the Global Ocean Reanalysis Simulation (GLORYS2v4) (http://marine.copernicus.eu/service-portfolio/) as monthly mean value of January, February, March, November, and December from 1993 to 2015 with a resolution of 0.25°×0.25°. All the data used in this work was the first layer of the variables. The parameters used in the Maxent contained the average states of the variables (January, February, March, November, and

December), their variability (maximum mean, minimum mean, and long-term change rate). The sea ice persistence index (ICE) was calculated as the proportion of the overall time during which the grid was covered by sea ice (the sea ice concentration larger than 60%). The index was calculated as ICE= M_1/M , where M_1 is the number of months which monthly sea ice concentration is less than 60%, and M is the number of months used in a year (in this work it is 5). The extent of all variables was clipped to match the study area, ranging from 80°W to 150°W and 55°S to 80°S. Furthermore, correlation analysis was performed on the attribute values of 17 variables, as too many variables would increase the complexity and random error of the model, which would reduce the accuracy of the results (Jiang, 2018; Zhang et al., 2019). The factors with Pearson's correlation coefficient larger than 0.7 was removed (Nachtsheim et al., 2017). In addition, the variables that contribute less than 0.01 to the Maxent model were removed (Nachstheim et al., 2017). Finally, 10 parameters, ICE ice persistence index), PHYC phytoplankton), Fe min (minimum dissolved iron), SPCO2 min (minimum surface CO2), U max (maximum eastward velocity), Fe (dissolved iron), NPPV min (minimum total primary production of phytoplankton), MLP max (maximum density ocean mixed layer thickness), PO4 min (minimum phosphate), and V max (maximum northward velocity) for the Antarctic krill were selected in this work.

2.2 Methodology

In this work, the maximum entropy model (Maxent) was used to calculate the constraints and estimates the possible distribution of the Antarctic krill using the environmental variables and krill presence points. Maxent is quite prevalent in habitat modeling as only presence points was needed and works well with

small sample sizes (Phillips and Dudik, 2008; Merow et al., 2013; Saupe et al., 2015). The program Maxent (version 3.4.1, https://biodiversityinformatics.amnh. org/open_source/maxent/, Phillips et al., 2006; Phillips and Dudík, 2008) was used in this work, 75% of the specie locations were selected to build the model, and the remaining 25% of the locations to test the model. Within the setting window, a bootstrap replicate run type was selected for 10 replicates with a random test percentage of 25% used. We used the bootstrap to sample the presence data for multiple runs. The cloglog was chosen as the output format, which gives a rough estimate of a probability of presence. The maximum test sensitivity plus specificity was selected as the threshold. Jackknife test was used to get the contribution rate and importance of variables. Model performance was assessed using receiver operating characteristic (ROC) curves using both the training and test data (Fielding and Bell, 1997; Nachtsheim et al., 2017). The area under the curve (AUC) can range between 0 and 1, the model can be judged as excellent if AUC is higher than 0.9 and good if AUC is between 0.8 and 0.9 (Fielding and Bell, 1997; Phillips et al., 2006; Nachtsheim et al., 2017).

Habitat suitability was defined based on the particular value in each cell and ranked into four different categories (Boitani et al., 2002; Elith et al., 2011).

"Unsuitable": where the ecological requirement of the species are not fulfilled (with the probability less than 0.2);

"Low Suitability": where habitat features cannot support a permanent species presence (with the probability between 0.2 and 0.4);

"Moderate": where habitat features support species presence at a sub-optimal level (with the probability between 0.4 and 0.6);

"High Suitability": where habitat features support species presence at an optimal level (with the probability larger than 0.6).

Mann-Kendall test, first introduced by Mann (1945), is a non-parametric statistical method to test the significant of change trend of time series. It has been widely used to assess the long-term trend and abrupt change point of climate factors. The statistic UF_k is an order normalization parameter of time series X calculated in order. If UF_k is larger (smaller) than 0, it indicates that the sequence is increasing (decreasing). For a given significant level α , if the absolute value of UF_k is greater than U_α (for α =0.05, U_α =±1.96), the

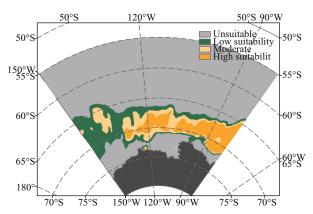


Fig.2 Habitat suitability maps for Antarctic krill in the Amundsen Sea

sequence has a significant trend. UB_k is an order normalized parameter calculated in reverse order of time series X. If there is an intersection point between the two curves of UF_k and UB_k , and the point is between the U_a , the point corresponding to the abrupt change point.

3 RESULT

3.1 Habitat suitability maps for Antarctic krill

In this work, Maxent performed well in terms of generating species distribution models for Antarctic krill in the Amundsen Sea (Fig.2), with the AUC values equal to 0.91 (0.92 for training data and 0.90 for test data). Results show that the high suitable habitat for Antarctic krill located between 65°S and 72°S, which account for 8.1% of the total area of the Amundsen Sea. The moderate suitability habitat mostly located at the border area of the high suitable habitat and there was also a small area in the central west of Amundsen Sea, and account for 6.7% of the total area. The low suitability habitat account for 11.2% of the total area, and mostly located at south of 65°S. The unsuitable habitat occupied the largest percentage in area (74.0% of the total area), which located around the coastline (south of 73°S) and between 55°S and 63°S.

3.2 Key environmental parameters

The contribution of each parameter to the modeling and the jackknife test of variable importance are shown in Fig.3. Based on percent contribution, ICE, PHYC, and Fe_min were the top three parameters used in the prediction of the Maxent model that affected the distribution of Antarctic krill in the Amundsen Sea. The ICE was the greatest contributor (57.2%) to the model, the contributions of PHYC was

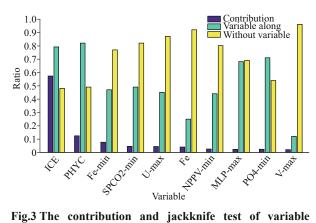


Fig.3 The contribution and jackknife test of variable importance in Maxent

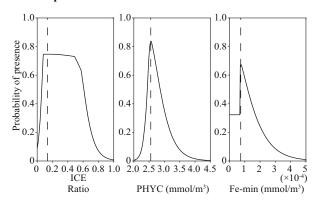


Fig.4 The responses curves between the probability occurrence and ICE, PHYC, and Fe_min

The dotted line represent the value that the maximum probability

larger than 10% (12.4%) and the contributions of Fe min was smaller than 10% (7.6%). The contributions of other variables were less than 5% to the model. The jackknife test of variable importance showed the highest gain when PHYC was used in isolation containing the most information when used alone. The responses curves indicate the relationship between the probability occurrence and environmental variables (Fig.4). Results show that the probability occurrence increased first and then decreased with the increase of the ICE. The maximum probability occurred at 0.86, and the optimum range was from 0.38 to 0.93 (with probability occurrence larger than 0.60). The probability occurrence increased first and then decreased with the increase of the PHYC. The maximum probability occurred at 2.55 mmol/m³, and the optimum range was from 2.48 to 2.77 mmol/m³. For Fe min, the maximum probability occurred at 7.39×10⁻⁵ mmol/m³, and the optimum range was from 7.10×10^{-5} to 9.45×10^{-5} mmol/m³. The results of the responses curves are in consistent with the observations (Fig.5). The ICE were distributed from 0.35 to 0.97, mostly were within the optimum range.

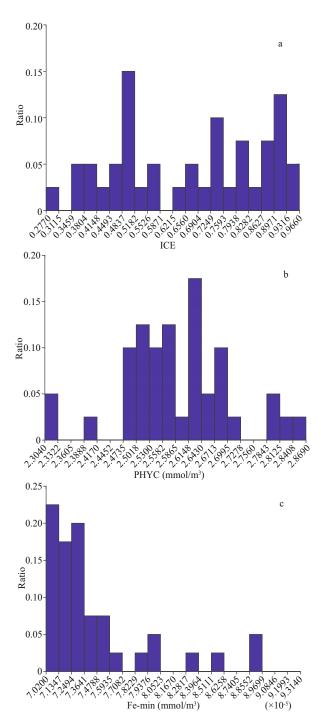


Fig.5 Distribution of ICE, PHYC and Fe min of krill collection points

The PHYC mostly ranged from 2.47 to 2.73, nearly the same as the optimum range of PHYC. The Fe min mostly ranged from 7.02×10⁻⁵ to 7.71×10⁻⁵, also within the optimum range of Fe min.

3.3 Changes of key environmental parameters

For the Antarctic krill in the Amundsen Sea, the ICE, PHYC, and Fe min were the main factors

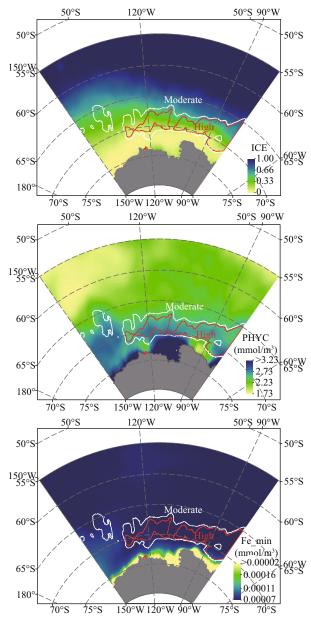


Fig.6 Average of 3 selected variables in January, February, March, November, and December from 1993 to 2015 and the border of moderate (white line) and high (red line) suitable habitat

affecting the habitat suitability, with the contribution about 77.2% in total. Average of ICE, PHYC, and Fe_min in January, February, March, November, and December from 1993 to 2015 were calculated and shown in Fig.6. Results show that the ICE increased as latitude increased in the Amundsen Sea. The mean value of high and moderate suitable habitat sare about 0.31 and 0.32, respectively. Results also show that the ICE might be the main restrictive factor in the northern part of the central region, where the PHYC and Fe_min were within the optimum range.

The PHYC was largest along the coastline, especially in the Pine Island Bay (with values larger than 3.23). In general, the PHYC in the east part was higher than that in the west part. It showed that the high and moderate suitable habitat had relative higher PHYC than the outer part of the Amundsen Sea, the mean value of high and moderate suitable habitat are about 2.68 mmol/m³ and 2.30 mmol/m³, respectively. Results also show that in the northern part of the central region, where belonged to unsuitable habitat, the PHYC value was also larger than 2.48 mmol/m³ and smaller than 2.77 mmol/m³. It seemed that at these areas the food supply was not the restrictive factors for the adult Antarctic krill.

The Fe_min was largest along the coastline, and results show that the high and moderate suitable habitat had relative higher Fe_min than the outer part of the Amundsen Sea, the mean value of high and moderate suitable habitat are about 7.4×10^{-5} and 7.5×10^{-5} mmol/m³, respectively.

Climate change has already produced spatial and temporal changes in earth's habitats and ecosystems (Parmesan and Yohe, 2003; Burrows et al., 2011; Veytia et al., 2020). Currently, ocean warming is more obvious in the Southern Ocean than global average (Vaughan et al., 2003; Meredith et al., 2019). Previous studies have shown that the climate variables of the Southern Ocean (e.g. sea temperature, ice cover, and chlorophyll) can affect the survival and growth of the Antarctic krill (Atkinson et al., 2004; Hill et al., 2013; Veytia et al., 2020). However, the effects on observed Antarctic krill distribution is a topic of debate (Atkinson et al., 2004; Loeb and Santora, 2015; Piñones and Fedorov, 2016; Cox et al., 2018). In this work, annual mean values of ICE, PHYC, and Fe min of the high and moderate suitable habitat and Mann-Kendall (Rashid et al., 2015) test were calculated and shown in Fig.7.

Results show that the ICE of the high and moderate suitable habitat were all within the optimum range, and no significant long-term trend exist in the ICE. At only three years (2006, 2008, 2013), the ICE of the high suitable habitat was smaller than 0.6, clearly decadal variations exist in ICE of the high suitable habitat. A positive trend existed in the ICE of the moderate and it was significant from 2012 to 2013.

Results of PHYC are different from that of ICE. At only 7 years the PHYC of the high suitable habitat were within the optimum range. A positive trend existed in the PHYC and it was significant after 1999.

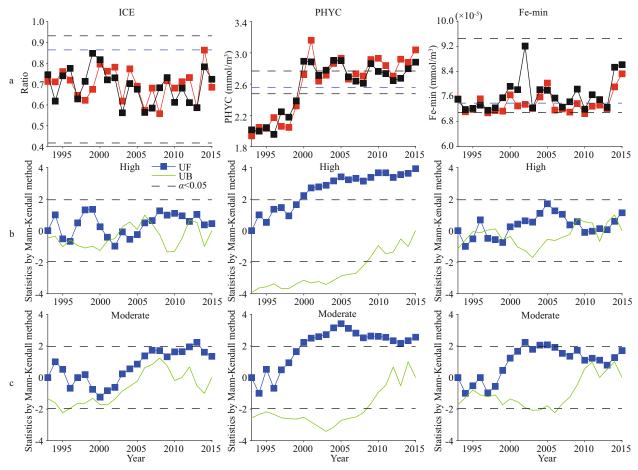


Fig.7 Mean values of ICE, PHYC, and Fe min of the high (red line) and moderate (black line) suitable regions

The value where maximum probability occurred (blue dotted line) in the optimum range (black dotted lines) (a), the estimates progressive (UF) and backward (UB) series of the Mann-Kendall test of mean value of high suitable regions (b), the estimates progressive (UF) and backward (UB) series of the Mann-Kendall test of mean value of moderate suitable regions (c).

The changes of PHYC of moderate and high suitable habitat were quite similar. The PHYC of the high and the moderate suitable habitat were larger than 2.56 mmol/m³ (where the maximum probability occurred). It seems that the PHYC were becoming more beneficial to the Antarctic krill after 2000. However, it also showed that if the increase of the PHYC continues, the PHYC would be out of the optimum range in the moderate and high suitable habitat.

The Fe_min of the high suitable habitat and moderate suitable habitat were all within the optimum range. No significant long-term trend exists in the Fe_min of high suitable habitat. But a positive trend existed in the Fe_min of moderate suitable habitat and it was just significant from 2002 to 2003. At only three years (2002, 2014, 2015), the Fe_min of the moderate suitable habitat was larger than 8.4×10^{-5} mmol/m³, which was obviously larger than those at other years. In addition, if the increase of the

Fe_min continues, the probability of presence of Antarctic krill will decrease in the moderate suitable habitat (Fig.3).

4 DISCUSSION

Detail knowledge of species distribution is often pre-requisite to protect and utilize the species in the ecosystem. The Maxent has been widely used to predict the species abundance distributions, the suitable areas for invasive species, and the geographical distributions both on land and in the ocean (Thuiller et al., 2005; Pueyo et al., 2007; Cao et al., 2016). Antarctic krill is an ecologically and commercially important species in the Amundsen Sea. The spatial distribution and the effects of the environment conditions on the distributions are important to the development of sustainable fishery management and conservation policy. Using the distribution data and field environmental data, the potential distribution of Antarctic Krill in the

Amundsen Sea was obtained based on the Maxent. It can be seen that the ICE, PHYC, and Fe min were the main factors affecting the habitat suitability. The sea ice melt water reduced the temperature (TEM) in the high and moderate suitable habitat; a colder water was thought to be more suitable for the growth of the krill (Nicol, 2000; Atkinson et al., 2006). In addition, the sea ice can affect the phytoplankton in the Southern Ocean (Wang et al., 2014; Deppeler and Davidson, 2017). The phytoplankton in the Southern Ocean is usual limited by the iron (Fe) and light limitation due to deep vertical mixing below the critical depth (De Baar et al., 2005; Boyd et al., 2007; Moore et al., 2013). The fresh low temperature water from sea ice melt reduced the density ocean mixed layer thickness and increased light availability (Assmann et al, 2005; Holland et al., 2010). All of these made a relative high phytoplankton production (higher than deep-sea areas) in the high and moderate suitable habitat (Hofmann and Hüsrevoğlu, 2003; Siegel and Watkins, 2016; Silk et al., 2016).

The PHYC was the food resource of the adult Antarctic krill. Food supply has the most direct effects on the animal survival, reproductive success, and population size (Newton, 2003). This result is consistent with other studies that adult krill in the open ocean are frequency associated with moderate food availability (Atkinson et al., 2008; Tarling et al., 2009; Silk et al., 2016). Atkinson et al. (2008) suggested that this relationship was a trade-off between food availability and growth potential and predation risk. The dissolved iron was an important factor limiting primary productivity. Alderkamp et al. (2015) indicated that primary productivity would be stressed by low iron concentrations during the months of December and January in the Amundsen Sea.

In this work, the presence data (40 points) of the Antarctic krill is from KRILLBASE, in which the data concentrated in January, February, March, November, and December. During these months, the adult krill swarms feed on phytoplankton in surface waters (Flores et al., 2012b). The results still agreed with what we know about the abundance and distribution of adult Antarctic krill, and the effects of environment conditions on distributions (Berglund, 1985; Atkinson et al., 2008; Krafft et al., 2010). However, the overwintering strategy of adult Antarctic krill was not taken into account. In addition, these are too little data to get the monthly variation (There are only 8 points on average). During the dark season, adult krill usually migrates to deeper water levels

below 200 m or concentrates under the sea ice (Siegel, 2005; Taki et al., 2005; Flores et al., 2012b). We did not get observations during the dark season. This current method is not able to provide the habitat suitability maps of the adult Antarctic krill in the dark season. Therefore, differences may exist in the distributional behaviors and habitat preferences of Antarctic krill as the season progressed. In addition, there may be underestimate as it is difficult to get presence data in the shelf area when there is ice cover. Despite these, our results still have reference significance for the policy of the protecting potential krill habitats around the Amundsen Sea for the CCAMLR. Future improvements and more extensivestudies may be carried out when more data is available in the Amundsen Sea. Based on our results, we put forward some suggestions: First, more attention should be paid to the suitable areas of the adult krill, especially the high suitable habitat, where should be the important areas for protection. Second, the effects of climate changes on the abundance and distribution of adult Antarctic krill should be taken into account when planning protected areas. Third, more observations and studied of the adult krill are still needed in the Amundsen Sea.

Although this work is not able to provide the habitat suitability maps of the adult Antarctic krill in the dark season, the results still agreed with what we know about the abundance and distribution of adult Antarctic krill. Therefore, the habitat suitability map and conclusions got from this work can provide useful information and reasonable reference for the policy of the protecting potential krill habitats around the Amundsen Sea.

5 CONCLUSION

Using the Maxent model and sets of environmental variables, the suitable habitat distribution and how the environmental variables affect the abundance and distribution of adult Antarctic krill were carried out in this work. High suitable habitat for Antarctic krill mostly located between 65°S and 72°S in the Amundsen Sea. The high and moderate suitable habitat accounted for 14.8% of the total area. The ICE, PHYC, and Fe_min were the three largest contributors to the model, contributed 77.2% in total. Adult Antarctic krill preferred habitats with ICE of 0.42–0.93, PHYC of 2.48–2.77 mmol/m³, and Fe_min of (7.10×10-5)–(9.45×10-5) mmol/m³.

No significant long-term trend existed in the ICE of the high and moderate suitable habitat. A positive

trend existed in the PHYC of the high and moderate suitable habitat and it was significant after 1999. If the increase of the PHYC continues, the PHYC will out of the optimum range in the moderate and high suitable habitat in the future. A positive trend existed in the Fe_min of moderate suitable habitat, and the probability of presence of Antarctic krill will decrease in the moderate suitable habitat if the increase of the Fe_min continues.

6 DATA AVAILABILITY STATEMENT

The presence data of the Antarctic krill can be obtained from KRILLBASE (https://www.bas.ac.uk/project/krillbase/). Environmental variables are derived from the GLORYS2v4 (http://marine.copernicus.eu/service-portfolio/).

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